DAC #  
(5)

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or Printed Name Wilhelm Albert Palmen Jr.

Signature

Date

September 8, 2000

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket

STAN-128

First Named Inventor

Haab et al.

Application Number

09/550,303

Filing Date

April 14, 2000

Group Art Unit

1643

Examiner Name

Unassigned

Title: "MICROARRAYS OF POLYPEPTIDES"

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☐ Issue Fee Transmittal  
(with copy of PTOL-85B)☐ Request for Refund☐ Response Under 37 C.F.R.  
§1.115☐ Formal Drawing(s)☐ After Allowance  
Communication to Group☐ Three (3) Month Extension of  
Time Request☐ Licensing-related Papers☐ Appeal Communication to Board  
of Appeals and Interferences☐ Express Abandonment Request☐ Petition Routing Slip and  
Accompanying Petition☐ Appeal Communication to  
Group☐ Information Disclosure  
Statement, 1449 and  
references☐ To Convert a Provisional  
Application☐ Appeal Communication to  
Group  
(Appeal Notice, Brief, Reply  
Brief)☐ Certified Copy of Priority  
Document(s)☒ Notification of Change of Small  
Entity Status Under 37 C.F.R.  
§1.28 (b) & (c)☐ Proprietary Information☐ Response to Missing Parts/  
Incomplete Application☐ Change of Correspondence  
Address☐ Status Letter☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53 (with copy  
of Notice to File Missing Parts)☐ Terminal Disclaimer☒ Additional Enclosure(s)  
(please identify below):  
Return Postcard☐ Small Entity Statement

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name

Pamela J. Sherwood, Ph.D.

Registration No.

36,677

Firm Name

BOZICEVIC, FIELD &amp; FRANCIS LLP

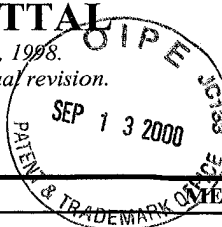
Signature

Date

September 8, 2000

**FEE TRANSMITTAL**

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.



Attorney Docket Number	TAN-128
First Named Inventor	Haab et al.
Application Number	09/550,303
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**METHOD OF PAYMENT**

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$0.00

**2. CLAIMS**

No. of claims as filed or after amendment	Claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 30	30	0	\$18.00	\$0.00
Ind. claims 3	3	0	\$78.00	\$0.00
Multiple Dependent claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of and over original patent	
Subtotal (2)					\$0.00

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
				Other fee (specify)	
				Subtotal (3)	\$500.00

\* Reduced by Basic Filing Fee Paid

**TOTAL AMOUNT TO BE CHARGED (\$500.00)**

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Pamela J. Sherwood, BOZICEVIC, FIELD & FRANCIS LLP			Reg. Number	36,677
Signature				Deposit Account	50-0815
	Date	September 8, 2000			

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

**Typed or Printed Name** Wilhelm Albert Palmen Jr.

**Signature**

**Date**

September 8, 2000

**NOTIFICATION OF CHANGE  
OF SMALL ENTITY STATUS**

(37 C.F.R. §1.28(b) & (c))

SEP 13 2000

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Attorney Docket**

STAN-128

**First Named Inventor**

Haab et al.

**Application Number**

09/550,303

**Filing Date**

April 14, 2000

**Group Art Unit**

1643

**Examiner Name**

Unassigned

**Title: "MICROARRAYS OF POLYPEPTIDES"**

Sir:

In accordance with 37 C.F.R. §1.28(b) & (c), notice is hereby given that the above referenced patent application was erroneously filed claiming small entity. The small entity status was claimed in good faith. It was later discovered that such status was established in error. The claim for small entity status, set forth in the verified statement filed with the Response to Missing Parts on August 3, 2000, is hereby withdrawn.

A detailed breakdown of the total amount paid, and an indication of the specific deficiency, based on current fees, is shown in the listing provided below:

		Column A	Column B	
Filing Date of Original Paper/Fee	Type of Fee Paid	Current Fee Amount as a Large Entity	Amount Originally Paid	Deficiency (based on current fee amount- Col. A minus Col. B)
08-03-00	Response to Missing Parts	\$1,000.00	\$500.00	\$500.00

In accordance with 37 C.F.R. § 1.28(c), please charge the amount of \$500.00 for the deficiency fee and any additional fees due in this matter our deposit account no. 50-0815.

Adjustment date: 09/18/2000 EHAMMOND  
08/11/2000 SDENB001 00000008 500815 09550303  
01-FC:201 345.00 CR  
02-FC:203 90.00 CR  
03-FC:205 65.00 CR

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

**RECEIVED**

SEP 18 2000

OFFICE OF PETITIONS

Date: September 8, 2000

By:

Pamela J. Sherwood  
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road, Suite 200

Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile: (650) 327-3231

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09/18/2000 EHAMMOND 00000017 500815 09550303

01 FC:101 690.00 CH  
02 FC:103 180.00 CH  
03 FC:105 130.00 CH